

GOVERNANCE AND AUDIT COMMITTEE

Tuesday, 21st July, 2020

10.00 am

Online





AGENDA

GOVERNANCE AND AUDIT COMMITTEE

Tuesday, 21st July, 2020, at 10.00 am
Online

Ask for: **Andrew Tait**
Telephone: **03000 416749**

Membership (12)

Conservative (8) Mr D L Brazier (Chairman), Mr R A Marsh (Vice-Chairman),
Mrs R Binks, Mr N J D Chard, Mr G Cooke, Mrs S V Hohler,
Mr M J Horwood and Mr H Rayner

Liberal Democrat (1): Mr R H Bird

Labour (1) Mr D Farrell

Independents (Green Party) (1): Mr M E Whybrow

Independent Member of the Governance and Audit Committee (1) Dr D A Horne

In response to COVID-19, the Government has legislated to permit remote attendance by Elected Members at formal meetings. This is conditional on other Elected Members and the public being able to hear those participating in the meeting. This meeting of the Committee will be streamed live and can be watched via the Media link on the Webpage for this meeting.

UNRESTRICTED ITEMS

(During these items the meeting is likely to be open to the public)

1. Introduction
2. Substitutes
3. Declarations of Interest in items on the agenda for this meeting
4. Minutes - 22 January 2020 (Pages 1 - 6)
5. Committee Work and Member Development Programme (Pages 7 - 12)

6. Draft Statement of Accounts TO FOLLOW
7. Treasury Management Annual Review 2019/20 (Pages 13 - 26)
8. External Audit Findings for Kent Superannuation Fund TO FOLLOW
9. External Audit Update and Audit Plan for KCC Superannuation Fund (Pages 27 - 66)
10. Internal Audit Progress Report (Pages 67 - 92)
11. Counter Fraud Update (Pages 93 - 142)
12. Policy Reviews (Pages 143 - 176)
 - (a) Anti-Money Laundering Policy
 - (b) Anti-Bribery Policy
 - (c) Anti-Fraud and Corruption Strategy
13. Internal Audit Annual Report and Opinion 2019/20 (Pages 177 - 216)
14. Internal Audit and Counter Fraud Plan 2020/21 (Pages 217 - 256)
15. Corporate Risk Register (Pages 257 - 320)
16. Fraud Law and Regulations and Going Concerns Considerations TO FOLLOW
17. Other items which the Chairman decides are urgent
18. Motion to exclude the public

That under Section 100A of the Local Government Act 1972 the public be excluded for the following business on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Act.
19. Internal Audit Progress Report - Audit Summaries (Pages 321 - 332)

EXEMPT ITEMS

(At the time of preparing the agenda there were no exempt items. During any such items which may arise the meeting is likely NOT to be open to the public)

Benjamin Watts
General Counsel
03000 416814

Monday, 13 July 2020

Please note that any background documents referred to in the accompanying papers maybe inspected by arrangement with the officer responsible for preparing the relevant report.