

Appendix A

Progress against the Counter Fraud Action Plan 2020/21 - Work to Prevent and Pursue Fraud and Corruption

Ref.	Activity	Outline Scope / Rationale	Update
CF01 2021	Fraud Awareness / Detection and Prevention Authority Wide	Plan and deliver a fraud awareness campaign in 2020-21 that is supported by the leadership team and includes both internal and external communications. The latter should raise awareness across clients and customers and include 'good news' stories such as successful prosecution or fraud prevention activity.	Strategic Commissioning Fraud Awareness. KNet Fraud Awareness Week. 249 Fraud E-Learning Courses completed Fraud Awareness to 250+ School Finance Officers Fraud Awareness to Schools' Financial Services Fraud Awareness to the Cross Directorate Resilience Forum Fraud Awareness to Children Services Teams
CF02 – 2021	NFI	Co-ordinate the Council's and its LATCO's participation in the National Fraud Initiative	Data extraction and upload completed. Results received in Jan/ Feb 2021 Results being progressed
CF03 – 2021	Review Policies & Procedures <ul style="list-style-type: none"> • Anti-Fraud Strategy • Whistleblowing • Bribery • Code of Conduct 	Review each policy annually ahead of the April G&A Committee and ensure that this is presented to CMT and once agreed to be communicated across KCC management via Kmail for managers.	Completed

CF04 – 2021	Kent Intelligence Network	Actively participate in the Kent Intelligence Network and develop data matching proposals to increase detection of fraud at KCC and across Kent authorities	Ongoing
CF05 – 2021	Relationship Management Strategy for Senior Stakeholders - Including Fraud, Bribery and Risk Assessments	To ensure that key Senior Stakeholders are kept up to date on the fraud risks and mitigation: <ul style="list-style-type: none"> • Leader as Portfolio Holder • CMT (In particular, Head of Paid Service) S.151 and Monitoring Officer, Corporate Directors • Governance and Audit Committee • Directorate/ Divisional Directors 	Ongoing Risk assessments within CYPE and ASCH Division for final review. Risk Assessments within GET ongoing through engagement at divisional level Risk Assessments within ST, G&L, Finance & Infrastructure final draft. HR&OD to be completed invited to Div MT meeting Strategic Commissioning to be arranged.
CF06 2021	Proactive Fraud Exercise - Schools	Provide Fraud awareness sessions to school finance staff, emerging leaders and governors. Including existing and emerging risks	Completed
CF07 2021	Proactive Fraud Exercise - Blue Badges	Provide regular attendance at the parking managers' meetings to inform them of latest guidance, what's working well and what needs improving. Provide enforcement awareness sessions to district CEOs.	Virtual meetings attended; CEO enforcement training deferred to 21/22.
CF08 2021	Proactive Fraud Exercise - Social Care	Review the Financial Abuse Toolkit to support Social Care in identifying and managing financial abuse.	Completed.
CF09 2021	Proactive Fraud Exercise - Commissioning	Work with Commissioning in the assessment of the fraud risks within the supply chain.	Ongoing Supplier set up process being progressed with Strategic Commissioning. Data analytics on payments to dissolved companies being investigated. Awareness training provided.
CF10 2021	Reactive Investigations	To manage and complete investigations.	Ongoing 300 closed referrals and investigation in 2020/2021

CF11 – 2021	Data Analytics Development - Payments	To identify a way to use data analytics to help identify fraud and error within the payments systems.	Ongoing Results from NFI creditor data received, with over 18,000 matches. Majority will be known false positive, however use of multiple appearance reports will reduce false positive matches. Other data matching results either cleared or being progressed.
CF12 – 2021	Data Analytics Development - Procurement Card Usage	To identify a way to use data analytics to help identify fraud and error within the procurement card systems.	Deferred to 2021/22 to incorporate school purchase card data as well.
CF13 – 2021	Covid-19 Fraud Risk Assessments	To update COVID-19 Fraud Risk assessments as new threats emerge.	Completed and under review
CF14 – 2021	Supporting Internal Audit on specific audits where there is a fraud risk, through planning, fieldwork and reporting stages as required.	Provide advice and support on key fraud controls in specific audits, support in testing and reporting as required.	Ongoing Training provided to Internal Audit on fraud risks and controls in Q3. Review of relevant engagement plans and draft reports to support understanding of fraud risks.