

Full Risk Register

Risk Register - Public Health

Current Risk Level Summary

Green	0	Amber	6	Red	0	Total	6
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Current Risk Level Changes

0	0	0	0	0	0
0	0	1	0	0	0
0	0	3	2	0	0
0	0	0	0	0	0
0	0	0	0	0	0

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0082	Implementation of General Data Protection Regulations (GDPR)	Gerrard Abi-Aad	28/02/2019	28/05/2019			
Increased likelihood of breaching data protection law and having a negative impact on the right to a private life for the citizens of Kent							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
The General Data Protection Regulations (GDPR) increases obligations on data controllers such as KCC to document all data processing activity	A serious breach of GDPR might result in an inability to access key Public Health data sources by means of special conditions placed on access rights as a result of breach failures. A breach might also result in KCC incurring significant financial penalties, damage to KCC's reputation and its ongoing ability to support new analytic requirements in respect of strategic commissioning.	Medium 12 Serious (4) Possible (3)		<ul style="list-style-type: none"> Update privacy notices to be GDPR compliant. Commissioners support the implementation of the GDPR programme GDPR compliance is monitored through contract monitoring processes 	Gerrard Abi-Aad Karen Sharp Karen Sharp	A -Accepted Control Control	25/05/2018 4 Serious (4) Very Unlikely (1)
Review Comments	this is continually being monitored through staff training and contract monitoring 28/02/2019						

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Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0083	Public Health Ring Fenced Grant	Andrew Scott-Clark	16/01/2019	16/04/2019			
Ensuring/assuring the Public Health ring fenced grant is spent on public health functions and outcomes, in accordance within National Guidance							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Public Health Ring fenced Grant is spent in accordance within National Guidance	If it does not comply with national guidance could result in the DPH not being able to sign the Annual Public Health Grant declaration which could result in an external audit taking place leading to similar consequences to that of Northamptonshire County Council (i.e. Public Health England seeking a return of Public Health Grant)	Medium 12 Significant (3) Likely (4)		<ul style="list-style-type: none"> Commissioners to conduct regular contract monitoring meetings with providers Providers to complete timely monthly performance submissions to ensure delivery of outcomes Review of Memorandums of understanding where the public Health Budget is being used to deliver baseline services and where public health are commissioning to deliver public health outcomes Agreed funding for Staff apportionment across Public Health, social care Adult, Social Care Children, business support and analytics functions to support public health outcomes functions and outcomes Agreement of money flow between Public Health ring-fenced grant and the Strategic Commissioning Division Continued budget monitoring through collaborative planning Regular review of public health providers, performance, quality and finance are delivering public health outcomes DPH and Section 151 Officer are required to certify the statutory outturn has been spent in accordance with the Department of Health & Social care conditions of the ring fenced grant 	Victoria Tovey -Accepted Victoria Tovey -Accepted Victoria Tovey -Accepted Andrew Scott-Clark -Accepted Andrew Scott-Clark -Accepted Avtar Singh Control Victoria Tovey Control Andrew Scott-Clark Control	31/03/2019 31/03/2019 31/03/2019 31/03/2019 31/03/2019	Low 2 Minor (1) Unlikely (2)
Review Comments	New control added to ensure certification is gained. 16/01/2019						

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Risk Ref	PH0001	Risk Title and Event			Owner	Last Review da	Next Review	
CBRNE incidents, communicable diseases and incidents with a public health implication					Andrew Scott-Clark	28/02/2019	28/05/2019	
Failure to deliver suitable planning measures, respond to and manage these events when they occur.								
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk	
<p>The Council, along with other Category 1 Responders in the County, has a legal duty to establish and deliver containment actions and contingency plans to reduce the likelihood, and impact, of high impact incidents and emergencies.</p> <p>The Director of Public Health has a legal duty to gain assurance from the National Health Service and Public Health England that plans are in place to mitigate risks to the health of the public including outbreaks of communicable diseases e.g. Pandemic Influenza.</p> <p>Ensuring that the Council works effectively with partners to respond to, and recover from, emergencies and service interruption is becoming increasingly important in light of recent national and international security threats and severe weather incidents.</p>	<p>Potential increased harm or loss of life if response is not effective.</p> <p>Increased financial cost in terms of damage control and insurance costs.</p> <p>Adverse effect on local businesses and the Kent economy.</p> <p>Possible public unrest and significant reputational damage.</p> <p>Legal actions and intervention for failure to fulfil KCC's obligations under the Civil Contingencies Act or other associated legislation.</p>	Medium		<ul style="list-style-type: none"> KCC and local Kent Resilience Forum partners have tested preparedness for chemical, biological, radiological, nuclear and explosives (CBRNE) incidents and communicable disease outbreaks in line with national requirements. The Director of Public Health has additionally sought and gained assurance from the local Public Health England office and the NHS on preparedness and maintaining business continuity The Director of Public Health works through local resilience fora to ensure effective and tested plans are in place for the wider health sector to protect the local population from risks to public health. Kent Resilience Forum has a Health sub-group to ensure co-ordinated health services and Public Health England planning and response is in place DPH now has oversight of the delivery of immunisation and vaccination programmes in Kent through the Health Protection Committee <p>DHP has regular teleconferences with the local Public Health England office on the communication of infection control issues</p> <p>DPH or consultant attends newly formed Kent and Medway infection control committee</p>	Andrew Scott-Clark	Control	Medium	
		12				Andrew Scott-Clark	Control	12
		Serious (4)				Andrew Scott-Clark	Control	Serious (4)
		Possible (3)				Andrew Scott-Clark	Control	Possible (3)
Review Comments		undated with additional control where the DPH has a greater oversight on immunisation and vaccination programmes across the county via Teleconference with Local PHE office and has representation on the Kent & Medway infection control committee						
		28/02/2019						

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Risk Ref	PH0004	Risk Title and Event	Owner	Last Review da	Next Review		
Information Governance			Gerrard Abi-Aad	28/02/2019	28/05/2019		
The success of health and social care , and the effective delivery of services in partnership, is dependent upon organisations being able to share information across agencies							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
With New Ways of Working, flexible working and increased information sharing across agencies there are increased risks in relation to data protection. The Public Health Observatory has access to NHS data to allow it to deliver it's statutory responsibilities	This could lead to breaches of the Data Protection Act if protocols and procedures are not followed.	Medium		• Information governance requirements are included as part of standard contracts with providers, where relevant. Annual Information Governance Statement completed by all contracted providers.	Karen Sharp	Control	Low
		9		• Caldicott Guardian in place for PH and Caldicott Guardian Guidance and register in place. The Caldicott Guardian officers have regular formal meetings.	Gerrard Abi-Aad	Control	Moderate (2)
		Significant (3)		• Authority wide group in place to provide strategic leadership on Information Governance.	Gerrard Abi-Aad	Control	Possible (3)
		Possible (3)		• Information sharing agreements and protocols for specific projects are in place.	Gerrard Abi-Aad	Control	
				• E Learning training for staff to raise awareness. All staff to complete the e-learning training on Information Governance and Data Protection.	Andrew Scott-Clark	Control	
			• Clause in employment contracts requiring compliance with data protection requirements.	Andrew Scott-Clark	Control		
Review Comments	this is ongoing and reviewed as part of staff training and provider contract monitoring 28/02/2019						

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Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review				
PH0005	Health Inequalities	Andrew Scott-Clark	28/02/2019	28/05/2019				
<p>These areas have high rates of premature mortality (deaths occurring under the age of 75 years) due to causes such as cardiovascular disease, respiratory disease and alcohol-related disease and cancer; causes that are strongly linked to unhealthy behaviours such as poor diet, physical inactivity, smoking and excessive alcohol. The risk is that whilst health is improving in general these communities health would not improve at the same rate as less deprived communities</p>								
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk	
Analysis of health inequalities in Kent shows that health outcomes are much worse in the most deprived decile areas in Kent.	The average life expectancy in the most deprived decile areas in Kent is 76 years for men and 80 years in women, compared to 83 years and 86 years respectively in the most affluent areas. These inequalities will lead to rising health and social care costs for the council and its partners amongst those groups least able to support themselves financially	Medium		<ul style="list-style-type: none"> Ensure that commissioning takes account of health inequalities when developing service based responses. For example Health trainers have a target to work with 25% of people from most deprived wards Ensure that an analytical focus remains on the issue of health inequality, providing partners and commissioners with the detail needed to focus support on this issue Refresh action plan for the Mind the Gap strategy, work with partners, such as District councils and CCGs to coordinate efforts to tackle health inequalities Where relevant use the Public Health England campaign and behaviour change tools, and expand this activity by targeting areas identified through Mind the Gap Analysis 	Karen Sharp	Control		Low
		9			Gerrard Abi-Aad	Control		Moderate (2)
		Significant (3)			Andrew Scott-Clark	Control		Possible (3)
		Possible (3)			Andrew Scott-Clark	Control		
Review Comments	work continues to reduce the health inequalities through commissioning services in relation to the information gathered through needs assessments and health promotion							
	28/02/2019							

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PH0002	Implementation of new models	Andrew Scott-Clark	16/01/2019	30/06/2019				
That the reduction in resource available to the new services will hamper the new services in their ability to deliver.								
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk	
Public Health is working to transform both children's and adults services, to deliver services more aligned with the need of the people of Kent. Whilst also facing reducing budgets	Reduction in outcomes for customers, and the ability of the services to meet key objectives, including the reduction of health inequalities	Medium 9 Significant (3) Possible (3)		<ul style="list-style-type: none"> Develop a long term resource allocation plan, taking account of likely financial resources over next four years Public Health commissioning function in place to ensure robust commissioning process is followed Opportunities for Joint Commissioning in partnership with key agencies and cross-directorate (health, social care) being explored. Regular meetings with provider and representative organisations (LMC, LPC). Regular meet the market events to support commissioning processes Working to a clear strategy, and to an advanced agenda allows for good communication with providers and potential providers Analyse long term financial situation, and developing services that will be sustainable 	Andrew Scott-Clark Karen Sharp Karen Sharp Karen Sharp Karen Sharp Andrew Scott-Clark	A-Accepted Control Control Control Control Control	31/12/2019	Low 4 Moderate (2) Unlikely (2)
Review Comments	to be reviewed and completed in line with commissioning plans 16/01/2019							